

Electronic Acknowledgement Receipt

EFS ID:	5983837
Application Number:	10686462
International Application Number:	
Confirmation Number:	7085
Title of Invention:	Method and system for the protection of broker and investor relationships, accounts and transactions
First Named Inventor/Applicant Name:	Steven Kirk Donoho
Customer Number:	21269
Filer:	James M. Singer/Vicki LoPresti
Filer Authorized By:	James M. Singer
Attorney Docket Number:	124256.0401
Receipt Date:	31-AUG-2009
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$810
RAM confirmation Number	1379
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Amendment Submitted/Entered with Filing of CPA/RCE	Response_and_RCE_08_31_09.pdf	64024 11ed146f16c4d88eb8c5d51caacc2510d930e	no	22
Warnings:					
Information:					
2	Request for Continued Examination (RCE)	sb0030e_fill.pdf	709382 1f47ee7c94d52b88b612e845c5a82595dce183a	no	3
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30261 a6d6db40578084d1d4694de7119157a829394b4f	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			803667		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					